

Open tender No. MMTC/BBSR/LIME STONE /NINL-RM/3/2016-17 Dated 20/01/2017
Last Date of Submission of Bids : 27/01/2017 at 13 : 30 Hours
OPEN TENDER FOR PURCHASE OF BG GRADE LIME STONE (10-50)MM SIZE

Established in 1963, MMTC Limited, a Government of India Enterprise, is a leading International Trading company with annual turnover of over Rs 18,284 crores during FY 2014-15. It is engaged in International Trading of minerals, agro products, precious metals, non-ferrous metals, coal & hydrocarbons, fertilizers etc. MMTC is one of the major global players in the minerals trade and is the single largest exporter of minerals. For further details please visit our website www.mmtclimited.com.

Neelachal Ispat Nigam Limited (NINL), a company promoted by MMTC Limited and Industrial Promotion and Investment Corporation of Odisha Limited (IPICOL) has set up an integrated 1.1 million ton capacity Iron and Steel Plant at Kalinga Nagar Industrial Complex, Duburi, District-Jajpur, Odisha (India).

MMTC Ltd Regional Office Bhubaneswar, having its address at "Alok Bharati Complex", 7th Floor, Saheed Nagar, Bhubaneswar – 751007, Odisha (India), invites sealed bids on behalf of NINL in two bid system (Technical Bid & Price Bid) from the suppliers of BF Grade Limestone (Mine owners/Traders/Importers), for supply of **8,000 MTs BF Grade Limestone (10-50 MM) to NINL plant** (Actual User) at Duburi, Odisha (India). Bidders are requested to submit their offers as per the tender documents. **The last date of submission of sealed bids is at 1330 Hours IST on 27/01/2017.** The tender document can be downloaded from MMTC's website (www.mmtclimited.com) or from Govt. of India's Procurement portal (<http://eprocure.gov.in>)

The tender notice consists of two sections. Section-I(Tender Description) and Section-II(Format of Documents). Bidders are requested to read the tender terms before submitting the bids.

Bidders shall submit their bids (both Technical & Price Bids) in a sealed envelope in the tender box kept in the office of MMTC Ltd Regional Office Bhubaneswar.

Bidders shall super-scribe (on the envelope) name of company, contact person, phone/fax/e-mail address, Tender no. and closing date. Incomplete offer or offer received after the closing date & time shall be rejected.

Bidders shall send the bid addressed to the Additional General Manager (Minerals), MMTC Limited Bhubaneswar Regional Office.

The technical bids will be opened at 1530 hours IST on 27/01/2017 and the price bids of technically qualified bidders will be opened subsequently.

Thanking You.

Addl. General Manager (Minerals)
MMTC Limited
Bhubaneswar Regional Office

**SECTION-I
TENDER DESCRIPTION**

1. **Commodity** : BF Grade Limestone (10-50MM)
2. **Quantity** : 8,000 MTs (+/-10% at buyer's option)/2 Rakes. Partial shipment or bid offering partial quantity shall be rejected. During the contractual period, quantity can be increased/decreased as per NINL's requirement. The 1st rake may be delivered at NINL Plant in the 1st week of January 2017.
3. **Specifications** :

Chemical Composition

Physical Composition

CaO	48% basis. Rejection below 47%. Acceptable up to 47% with penalty	Size 10-50MM)	
SiO2	5% Max. Rejection above 6%. Acceptable up to 6% with penalty.	+50 MM	5%(Max.) acceptable up to 10% with penalty.
Al2O3	1% Max. Acceptable up to 1.2% with penalty. Rejection above 1.2%.	-10 MM	5%(max) acceptable up to 10% with penalty.
Alkali	0.4% Max. Rejection above 0.4%		

4. **Origin** : Domestic/Foreign.
5. **Loading point** :
- a) For domestic cargo - Nearest Railway siding of the supplier.
- b) For foreign cargo - Nearest Railway siding of any port(s) in India.
6. **Destination** : The seller shall consign the cargo in favor of "Neelachal Ispat Nigam Limited, Kalinga Nagar Industrial Complex, Duburi, District: Jajpur, Odisha (India)".
7. **Delivery period** : **28 January 2017- March 2017; even at short notice.** MMTC/NINL reserves the right to reduce/extend the delivery period as per requirement of NINL. For uninterrupted operation of NINL plant supplier must guarantee rakes equivalent to two rakes per month at buyer's option. Monthly supply quantity has been calculated taking into account the full tender quantity and in case of less allocation the same may be proportionately accounted. At the end of the contractual delivery period if there remains any undelivered quantities (for which rake indent already placed within the contractual delivery period), supplier can deliver that quantity even after expiry of the contractual delivery period at the contractual price solely at the written consent of MMTC/NINL. Notwithstanding the above, MMTC/NINL reserves the right to forego the quantities if not delivered within the contractual delivery period. However, in case of non-supply of rake by Railway in spite of registration of Indent by the supplier within the stipulated period, the balance quantities/rakes may be carry forwarded to the next month.
8. **Delivery terms** : "F.O.R. Loaded into Wagons" basis. Railway rake indent to be registered by the seller at the respective Railway siding. Supplier has to ensure that the wagons are fit for loading and arrange packing of doors properly to prevent loss of cargo en-route destination. The supplier must clean each and every wagon before loading of the material to avoid any contamination of the material. The cargo to be loaded loose into the wagons (if not otherwise advised). The gaps in the wagons to be sealed properly to prevent spillage, etc.

9. Earnest Money Deposit (EMD)

Each bid shall be accompanied by an Earnest Money Deposit (EMD) in the form of Demand Drafts/Banker's Chq for INR 1,00,000/- (Indian National Rupee One Lac) favoring 'MMTC Limited' payable at Bhubaneswar. EMD shall have to be submitted along with the Techno Commercial bid. Bid received without EMD shall be rejected. Non acceptance of Letter of Intent (LOI)/Purchase Order(PO), failure of signing the contract within the time allowed shall be construed as a failure and the EMD shall be forfeited. EMD shall be returned to the unsuccessful bidders after acceptance of Purchase Order/Purchase contract by the successful bidder(s). The EMD submitted by the successful bidder shall also be returned, after receipt of Performance Guarantee. No interest shall be payable by MMTC/NINL on EMD amount.

10. Performance Guarantee

The Purchaser shall issue a Letter of Intent (LOI)/Purchase Order(PO) to the successful bidder whose bid is accepted and the successful bidder shall sign the Purchase Contract and execute a **Performance Guarantee(PG)** in the form Demand Draft/Banker's Chq for an amount of INR 5,00,000/- (Indian National Rupee Five Lacs) in favor of 'MMTC Limited' payable at Barbil (for within Odisha supplier)/ 'Neelachal Ispat Nigan Limited' payable at Bhubaneswar (for outside Odisha supplier). Within 3 working days of issuance of unsigned contract (by the purchaser), seller shall return back the contract (to the Purchaser) duly signed and stamped. The PG shall be returned to the successful bidder after satisfactory completion of the contract. No interest shall be payable by MMTC/NINL on PG amount.

11. Categories of Bidders

The bids received from any of the following categories of bidders shall be considered for this open tender:

- a) **BF Grade Limestone Mine Owners.**
- b) **BF Grade Limestone Traders**, offering the cargo sourced from any back-up mine owner/trader. Bid should be backed by a Letter of Authority of the concerned back-up supplier specifically authorizing the said supplier to offer bid (on behalf of the back-up supplier) and no one else to make an offer in response to this invitation of bid.
- c) **BF Grade Limestone Importers**, offering imported cargo from Railway siding of any ports in India.

12. Eligibility Criteria

The bidders have to submit following documents for becoming eligible to participate in the tender:

- a) Detailed information of the bidder (Name/business address/contact nos/e-mail IDs, list of Directors/Partners etc).
- b) Self certified copy of mining lease deed (applicable for mine owner).
- c) Authorization letter to submit bid on behalf of back-up supplier (applicable for traders)- **Annexure-III**
- d) Import-Export code (applicable for importers).
- e) Self certified copy of the IBM registration certificate (wherever applicable).
- f) Trading license under concerned Mining circle (applicable for traders & importers).
- g) VAT/CST/TIN registration certificate clearly indicating the commodity 'Limestone' in the certificate.
- h) Self certified copy of the PAN.
- i) Service tax certificate (optional).
- j) Copy of the tender document duly stamped and signed (in all pages) as a mark of acceptance of the tender terms and conditions.
- k) Demand Draft/Banker's chq of INR 1,00,000/- towards EMD.
- l) Integrity pact (as per format), duly signed by the Proprietor/Owner/Partner/Director.- **Annexure-II**

m) Declaration that the bidder has not been banned by Govt. of India/MMTC/other PSUs in doing business dealings- **Annexure-IV**

13. **Price Terms** : The bidder shall quote price (as per **Annexure-I**) in Indian National Rupee (INR) per WMT on “F.O.R Loaded into Wagons” basis and the price should remain fixed during the contractual period. The price shall be inclusive of Royalty and exclusive of Tax elements (Cess, Entry tax, VAT /CST, any other Govt. levies). Taxes shall be paid at actual, **along with DMF & NMET(if applicable)** . Any variation in the rate of **DMF, NMET, ET and Sales tax/CS, Cess** or imposition of any fresh statutory levy during the contractual period shall be to the account of buyer, subject to submission of documentary evidence to that effect by the supplier. However, for the purpose of evaluation of bids, Landed Cost F.O.R NINL plant shall be considered. Landed cost FOR NINL plant shall be calculated as below

- **Landed cost FOR NINL plant** = Quoted price (INR/WMT) + Railway freight amount including service tax (INR/MT) from loading siding to NINL’s private siding+ Busy season surcharge(BSS) + VAT/CST+ Entry Tax (**plus DMF & NMET if any**) – Tax input credit (if any).

14. Tolerance levels & Penalties

Chemical composition

Element	Required level	Tolerance level	Penalty amount (to be deducted from invoice amount)
CaO	48% Min	Less than 48% & up to 47%	@2% of base price for every 0.1% decrease or part thereof.
SiO2	5% Max	More than 5% & up to 6%.	@2% of base price for every 0.1% increase or part thereof. Rejection above 6%
Al2O3	1% Max	Up to 1.2%	@2% of base price for every 0.1% increase or part thereof. Rejection above 1.2%
Alkali	0.4% Max	Zero tolerance level. Rejection above 0.4%.	

Physical composition

Size	Tolerance level	Penalty amount (to be deducted from invoice amount)
-10 MM	5% Max, acceptable up to 10%.	@ 2% of base price for every 1% or part thereof increase.
+50 MM	5% Max, acceptable up to 10%.	@ 2% of base price for every 1% or part thereof increase.

In case a lot is rejected

The rejected lot will not be returned back to the supplier. For the rejected lot, a token price of INR 1/- PMT shall be payable to the supplier as purchase price. Further, a fixed amount of INR 100/- PMT shall be paid to the supplier towards other cost elements like transportation Charges (from Mines/Port plot to railway siding), Wagon loading charges, labour welfare cess, etc.

15. Sampling and Analysis

At the loading railway siding, an approved / recognized independent analyst shall be appointed as ‘**Independent Inspection Agency (IIA)**’ by the buyer who shall draw samples and analyze for the quantity loaded by the seller. The IIA shall determine the specifications (Chemical and Physical) of the Lime Stone for a full rake in three lots by giving analysis of elements, components as per required specifications. First 2 (two) lots shall be of 20 wagons each and the 3rd lot shall be for the balance wagons in the rake. The IIA shall provide an analysis certificate showing detailed analysis reports after completion of the lot indicating the weighted average of three lots. Apart from that, a joint sampling analysis by a 3rd party Inspection Agency (to be appointed by buyer) would also be undertaken at unloading point i.e. at NINL plant and the results of the unloading point sampling analysis shall be binding for the purpose of acceptance/rejection of the cargo as well as for payment purpose.

Drawing of samples at the time of loading of rakes for carrying out chemical and physical analysis shall be done by the IIA (appointed by the buyer) in the presence of both buyer's and seller's representative. In case seller's representative does not turn up at the time of drawing of samples, the sample so drawn by the IIA in the presence of buyer's representative would be final and binding on the seller.

Sample prepared by the IIA at the loading point shall be divided into 7 (seven) parts and sealed separately in the presence of the representatives of NINL/MMTC/Seller. Out of the 7(seven) sample packets, 1st & 2nd sample packets shall be handed over to the representative of NINL, 3rd & 4th to MMTC's representative, 5th to seller's representative, 6th will be used by the IIA to conduct the analysis and the 7th shall be retained by the IIA to conduct umpire analysis (if need arises)

Cost of such sampling / analysis by the IIA at the loading point will be to the seller's account.

Umpire Analysis :

In case there is a mismatch between loading point analysis and unloading point analysis, Buyer/Seller has the option to forward the sample packet for umpire analysis. Umpire analysis shall be final and binding on both parties (Seller and Buyer). Umpire analysis cost shall be borne by the party whose analysis is more remote from the Umpire analysis. If the result of the analysis is equidistance from the original analysis the charges will be divided equally between the buyer and seller.

16. Determination of Weight

16.1 In case supplier is situated outside the state of Odisha (India), the weight of Lime Stone supplied on FOR loading Railway station basis shall either be determined at loading siding Railway Weighbridge or at any en-route Railway weighbridge and the net weight mentioned in the Railway Receipt (RR) shall be final for payment.

16.2 In case supplier is situated within the state of Odisha (India), the weight of the cargo supplied on F.O.R loading Railway siding basis shall be determined on the basis of weight recorded at Govt. approved road weighbridge at mines/en-route to Railway siding. However, weight mentioned in the Transit Permits (TPs) issued by the Mining Department, Government of Odisha, shall be final and shall be binding for payment purpose.

16.3 In case the cargo is of foreign origin, the weight shall be recorded at near **by in motion Railway weighbridge/ en-route rail weighbridge** and the net weight mentioned in the Railway Receipt shall be final for payment.

16.4 In case wagons are not weighed either at loading station Railway Weighbridge or at any en-route Railway Weighbridge, the weight determined on weight-volume-ratio by the IIA at the loading siding shall be final for all purpose. In case assessed quantity is more than the chargeable weight, payment will be restricted on the chargeable quantity mentioned in the Railway Receipt.

16.5 Payment of Railway dues such as, Punitive Charges, Dead freight etc shall be as per Railway Receipt. The Punitive charges and Dead freight if any payable to the Railways shall be shared 50:50 between the buyer and the seller subject to maximum of Rs.100/- per MT being the share of buyer on chargeable weight of the rake. Demurrage charges due to delay in loading and over loading penalty due to loading of material in excess of permissible quantity of 68 MT per wagon, shall be to the seller's account. Further, other Railways liabilities, if any, related to the despatch of material shall be to the seller's account.

17. Payment Terms

17.1 In case supplier is situated outside the state of Odisha (India), NINL will be the Buyer and shall issue Letter of Intent (LOI)/Purchase Order to the supplier. After supply of goods, the supplier shall issue Tax Invoice directly to NINL(TIN No. 21731400956) under intimation to MMTC Limited, Sub-Regional Office Barbil, District: Keonjhar, Odisha (India) along with copies of Railway Receipts and Analysis Report. NINL shall settle the bills directly with the supplier.

17.2 In case supplier is situated within the state of Odisha (India), MMTC Limited, Sub-Regional Office Barbil will be the Buyer and shall issue Letter of Intent (LOI)/Purchase Order to the supplier. After supply of the goods, Seller shall raise Tax Invoice to MMTC Limited Sub-Regional Office Barbil (TIN No. 21471106607), District: Keonjhar, Odisha (India) with following documents:- i) copy of original Railway Receipts ii) Loading Railway siding analysis certificate issued by IIA, iii) copy of "L" and "I" forms along with TP statement and copies of TPs.

- (a) **Release of Provisional Payment :** Upon receipt of the Tax Invoice from Seller, Buyer shall release provisional payment to the extent of 90% value of the cargo on the basis of i) Loading point analysis as reported by the IIA and ii) Weight determined as per CLAUSE 16 of the tender.
- (b) **Release of Balance Payment :** Balance 10 % payment shall be released by the Buyer after taking into consideration unloading point analysis as reported by the 3rd party Inspection Agency.
- (c) In general, all payments shall be in 'Electronic' mode (E-Payment).

18. Validity of the offer

The quoted price should remain valid for a period of 60 (Sixty) days from the date of opening of price bids for acceptance by MMTC/NINL. However, if LOI/PO is issued by MMTC/NINL within 60 days, this price shall remain valid till 20.03.2017.

19. RISK PURCHASE

In case the Seller fails to provide the materials for delivery by the time agreed upon or should the Seller in any manner or otherwise fail to perform the agreement, the Purchaser shall have the right to get the material from alternative sources, at the risk and cost of the Seller. The purchase of the material from alternative sources as stated hereinabove may be either for whole or part of the contracted quantity. The Purchaser shall have the right to recover the differences in prices from the performance guarantee amount available.

20. Award of Contract

In general, the Buyer shall award the contract to the lowest bidder (L-1 bidder) only. However, if required, the Buyer may split the total tender quantity and award the contract among 3 (Three) eligible bidders in ascending order (i.e. among L-1, L-2 & L-3), subject to the condition that L-2 & L-3 bidders match L-1 landed price F.O.R NINL plant. Such splitting shall be in the ratio L-1:L-2:L-3::60%:25%:15%. In case both the L-2 & L-3 bidders don't agree to match the L-1 landed price F.O.R NINL plant, then the contract shall be awarded to the L-1 bidder. In case either of L-2/L-3 agrees to match the L-1 landed price FOR NINL plant, then the splitting ratio shall be L-1:L-2/L-3::70%:30%.

21. Due Dates

Submission of Techno-Commercial Bids and Price Bids	: 27/01/2017 till 1330 hours.
Opening of Techno-Commercial Bids	: 27/01/2017 at 1500 hours.
Opening of Price Bids	: 27/01/2017 at 1600 hours.

The price bids of only technically successful bidders shall be opened.

22. Submission of bids.

The bids are required to be submitted physically in a sealed envelope and to be dropped in the tender box, by due date and time, so as to reach Additional General Manager(Mineral division), MMTC Limited Bhubaneswar Regional Office, "Alok Bharati Complex", 7th Floor, Saheed Nagar, Bhubaneswar-751007, Odisha (India).

The Techno-Commercial bid will be opened as per due date. After scrutiny of technical bids received, the price bids of only those bidders whose technical bids are acceptable will be opened and considered further.

23. General Conditions

23.1 MMTC reserves the right to cancel or reject any or all bids without showing any reason whatsoever and the decision of MMTC in this respect shall be final and binding and shall not be liable to be questioned in any court or before any authority.

- 23.2 In the event of any changes/alterations found in the tender terms, bidders giving false information, bidders not submitting requisite documents/information/data as envisaged in the tender, non-compliance to tender terms etc, may lead to rejection in pre tender/post tender stage.
- 23.3 In case where supplier is situated outside the state of Odisha(India), MMTC will act as an agent of NINL for procurement of BF Grade Limestone.
- 23.4 Utmost precaution shall be exercised by the seller at the time of delivery of each consignment to ensure that the guaranteed specifications stipulated are strictly complied with. The purchaser reserves the right to terminate the contract in case there are deviations from the guaranteed specifications. If required, MMTC/NINL may inspect the cargo by taking samples (for analysis) and thereafter place Purchase Order on the successful bidder(s).
- 23.5 Any bidder willing to participate in the tender opening process, may attend directly or depute their representative through proper authorization on their letter head with signatures in original clearly stating the name of firm, person, designation and his signature.
- 23.6 EMD of the unsuccessful bidders will be returned back. EMD of the successful bidders will also be returned, after receipt of Performance Guarantee. The Performance Guarantee shall be returned after satisfactory completion of the contract.
- 23.7 The bidders should quote for F.O.R. rake point. Their responsibility is till loading of the cargo onto the rakes.
- 23.8 MMTC shall have the right and authority to review/modify/amend any condition of this tender.

24. Termination of Contract

The buyer can terminate the contract without any compliant from the seller by giving a notice in writing in the event of the following situations:

- 24.1 In the event of insolvency of the seller/insolvency of any partner of the seller's firm/dissolution of the seller's firm/winding up of the sellers company on appointment of receiver.

OR

- 24.2 If the seller commits the breach of the contract even though not specifically provided for herein, provided always that such determination shall not prejudice any right of action or remedy which shall accrued or shall accrue thereafter to the buyer and provided also that the seller shall be liable to compensate the buyer for damages, claims, losses and expenses etc.

25. Arbitration

Any dispute or difference whatsoever, arising between the parties out of or relating to the construction, meaning, scope, operation of this contract or the validity or the breach thereof shall be settled by Arbitration by Sole Arbitrator to be nominated by The General Manager, MMTC, Bhubaneswar .The provision of arbitration and conciliation Act, 1996 shall apply to such arbitration proceedings. The venue of the Arbitration shall be Bhubaneswar, Odisha (India).

26. Force Majeure

In case at any time during the existence of the contract, either party is unable to perform in whole or in part any obligation under the contract because of war, hostility, military operation of any character, civil commotions, sabotage, quarantine restrictions, acts of Government, fire, floods, explosions, epidemics, strikes, embargoes, blockages, mobilizations, earthquake, cyclone, plant shut down restrictions or any other unforeseen circumstances beyond the reasonable control of the parties concerned then the date of fulfilment of any obligations shall be postponed during the time when such circumstances are operative.

Any waiver / extension of time in respect of the delivery of any instalment or part of the goods shall not be deemed to be waiver/extension of time in respect of the remaining deliveries. If operation of such circumstances exceeds by one month, the affected party will have the right to refuse further performance of the contract in which case neither party shall have the right to claim eventual damages.

The party which is unable to fulfil its obligations under the present contract must within 10 days of occurrence of any of the cause mentioned in the contract shall inform the other party of the existence of the circumstances preventing the performance of the contract. Certificate issued by a Chamber of Commerce of Industry or any other competent authority connected with the cause shall be sufficient proof of the existence of the above circumstances and their duration. Non-availability of material will not be an excuse to the Seller for not performing their obligations under the contract.

The delivery period in respect of the undelivered quantities may be extended during the contractual period at Buyer's option subject to acceptance of the price (by the Seller) available through any tender by MMTC during the extended delivery period or the contractual price, whichever is lower.

If no mutual agreement either for extension of time for supply of Lime stone or price is arrived at, the contract may be terminated at the option of the MMTC without any liability.

SECTION -II
FORMAT OF DOCUMENTS

Annexure-I

Open tender No. MMTC/BBSR/LIME STONE /NINL-RM/3/2016-17 dated 20/01/2017
Last Date of Submission of Bids 27/01/2017 at 13 : 30 Hours

PRICE BID

As per clause 13 of the tender, we hereby quote our price bid as below:

Price (in INR per MT), basis: FOR Loading Railway siding (Name of the Railway siding: _____)	INR _____ per MT	
Applicable Transportation/Freight charges (including service tax) in INR/MT	Distance (in Kilo meters)	_____ KMs
	Freight charges	_____ PMT
Applicable taxes	VAT/CST (please tick)	_____ %
	Entry tax (if applicable)	_____ %
Validity of the offer for acceptance by MMTC/NINL	60 days from the date of opening of price bid.	

Place:

Signature of the Bidder with Official Seal:

INTEGRITY PACT

Between

MMTC Limited hereinafter, referred to as “MMTC”

And

M/s. hereinafter referred to as “The Buyer/Vendor/Bidder”

Preamble

WHEREAS, MMTC is an international trading company dealing in export/import/sale/purchase of various commodities.

WHEREAS, MMTC values full compliance with all relevant laws of the land, rules, regulations and the principles of economic use of resources and of fairness/transparency in its relation with its Buyer/Vendor/Bidder. In pursuance, thereto, the following clauses of the Integrity Pact will be applicable and this document shall deem to be an integral part of the Agreement/Contract between us.

In order to achieve the goals. MMTC may appoint an Independent External Monitor (IEM), who will monitor the tender/auction/e-auction/e-sale/sale/purchase process and the execution of the contract for compliance with the principles mentioned above.

Section1 – Commitments of MMTC

1. MMTC commits itself to take all necessary measures to prevent corruption and to observe the following principles.
 - a) No employee of MMTC, personally or through family members will in connection with the tender for or the execution of a contract, demand, take a promise for or accept, for himself/herself or third person, any material or non-material benefit which he/she is not legally entitled to.
 - b) MMTC Will during the tender/auction/e-auction/e-sale/sale/purchase process, provide to all Buyer(s)/Vendor(s)/Bidder(s) the same information and will not provide to any Buyer/Vendor/Bidder any confidential/additional information through which the Buyer/Vendor/Bidder could obtain an advantage in relation to the tender/auction/e-auction/e-sale/sale/purchase process or the contract execution.
 - c) MMTC will exclude from the process all known prejudiced persons.
2. If MMTC obtains information on the conduct of any of its employees which is a criminal offence under the relevant Anti-Corruption Laws of India or if there be substantive suspicion in this regard. MMTC will inform its Chief Vigilance Officer and in addition can initiate disciplinary action.

Section 2 – Commitments of the Buyer(s)/Vendor(s)/Bidder(s)

1. The tender/auction/e-auction/e-sale/sale/purchase commits himself to take all measured necessary to prevent corruption. He commits himself to observe the following principles during his participation in the tender/auction/e-auction/e-sale/sale/purchase process and during the contract execution.
 - a) The Buyer(s)/Vendor(s)/Bidder(s) will not, directly or through any other person or firm, offer, promise or give to any of MMTC's employees involved in the tender/auction/e-auction/e-sale/sale/purchase process or the execution of contract or to any third person any material or

non-material benefit which he/she is not legally entitled to, in order to obtain in exchange any advantage of any kind whatsoever during the tender process or during the execution of the contract.

- b) The Buyer(s)/Vendor(s)/Bidder(s) will not enter with other Buyer(s) into any illegal agreement or understanding, whether formal or informal. This applies in particular to prices, specifications, certifications, subsidiary contracts, submission or non-submission of bids or any other actions to restrict competitiveness or to introduce cartelization in the bidding process.
 - c) The Buyer(s)/Vendor(s)/Bidder(s) will not commit any criminal offence under the relevant Anti-Corruption Law of India., further the Buyer(s)/Vender(s)/Bidder(s) will not use improperly, for purposes of completion or personal gain, or pass on to others, any information or document provided by MMTC as part of the business relationship regarding proposals, plans business details including information contained or transmitted electronically.
 - d) The Buyer(s)/Vendor(s)/Bidder(s) of foreign origin shall disclose the name and address of the Agents/representatives in India, if any. Similarly the Buyer(s)/Vendor(s)/Bidder(s) of Indian Nationality shall furnish the name and address of the foreign principals, if any. Further, all the payments made to the Indian agents/representative have to be in Indian Rupees only.
 - e) The Buyer(s)/Vendor(s)/Bidder(s) will, when presenting has bid, disclose any and all payments he has made, is committed to or intends to make to agents, brokers or any other intermediaries in connection with the award of the Contract.
2. The Buyer(s)/Vendor(s)/Bidder(s) will not instigate third persons to commit offences outlined above or be necessary to such offences.

Section 3 – Disqualification from tender process and exclusion from future contracts

If the Buyer(s)/Vendor(s)/Bidder(s), before award of contract, has committed a serious transgression through a violation of Section 2 above or in any other form such as to put his reliability or credibility as buyer/vendor/bidder into question. MMTC is entitled to disqualify the Buyer(s)/Vendor(s)/Bidder(s) from the tender/auction/e-auction/e-sale/sale/purchase process or to terminate the contract, if already signed, for such reason.

- a) If the Buyer(s)/Vendor(s)/Bidder(s) has committed a serious transgression through a violation of Section 2 above or in any other form such as to put his reliability or credibility as buyer/vendor/bidder into question. MMTC is entitled to also exclude the Buyer(s)/Vendor(s)/Bidder(s) from the future contract award processes. The imposition and duration of the exclusion will be determined by the severity of the transgression. The severity will be determined by the circumstances of the case, in particular the number of transgression, the position of the transgressors within the company, hierarchy of the buyer and the amount of the damage. The exclusion will be imposed for a minimum of 6 months and maximum of 3 years.
- b) If the Buyer(s)/Vender(s)/Bidder(s) can prove that he has restored/recouped the damage cause by him and has installed a suitable corruption prevention system. MMTC may at its sole discretion revoke the exclusion prematurely.
- c) A transgression is considered to have occurred if in light of available evidence no reasonable doubt is possible.

Section 4 – Compensation for Damages

1. If MMTC has disqualified the Buyer(s) from the tender/auction/e-auction/e-sale/sale/purchase process prior to the award according to Section 3, MMTC is entitled to demand and recover the damages equivalent to Earnest Money Deposit/Bid Security.
2. If MMTC has terminated the contract according to Section 3, or if MMTC is entitled to terminate the contract according to Section 3, MMTC shall be entitled to demand and recover form the Vendor liquidated damages equivalent to 5% of the Contract value or the amount equivalent to Performance Bank Guarantee whichever is higher.
3. If the Buyer(s)/Vender(s)/Bidder(s) can prove that the exclusion of the Buyer(s)/Vendor(s)/Bidder(s) from the tender/auction/e-auction/e-sale/sale/purchase process or the termination of the contract after the contract award has caused no damage or less damage than the amount of liquidated damages, the

Buyer(s)/Vendor(s)/Bidder(s) may compensate only the damage in the amount proved. If MMTC can prove that the amount of the damage caused by the disqualification of the Buyer(s)/Vendor(s)/Bidder(s) before Contract Award or the termination of the Contract after the Contract Award is higher than the amount of the liquidated damages, it is entitled to claim compensation for the higher amount of the damages.

Section 5 – Previous transgression

1. The Buyer(s)/Vendor(s)/Bidder(s) to declare that no previous transgressions occurred in the last 3 years with any other Company in any country conforming to the anti corruption approach or with any other Public Sector Enterprise in India that could justify his exclusion from the tender process.
2. If the Bidder makes incorrect statement on this subject, he can be disqualified from the tender process or the contract, if already awarded and can be terminated for such reason.

Section 6 – Equal treatment of all Buyer(s)/Vendor(s)/Bidder(s)

1. MMTC will enter into agreements with identical conditions as the one with all Buyer(s)/Vendor(s)/Bidder(s) without any exception.
2. MMTC will disqualify from the tender process all Buyer(s)/Vendor(s)/Bidder(s) who do not sign the Pact or violate its provisions.

Section 7 – Criminal charges against violating Buyer(s)/Vendor(s)/Bidder(s)

If MMTC obtains knowledge of conduct of Buyer(s)/Vendor(s)/Bidder(s) or of an employee or a representative or an associate of Buyer(s)/Vendor(s)/Bidder(s), which constitutes corruption, or if MMTC has substantive suspicion in this regard, MMTC will inform the same to its Chief Vigilance Officer and/or appropriate Govt. authorities such as CBI.

Section 8 – Independent External Monitor(s)

1. MMTC appoints competent and credible Independent External Monitor (IEM) for this pact. The task of the IEM is to review independently and objectively, whether and to what extent the parties comply with the obligations under this agreement.
2. The IEM is not subject to instructions by the representative of the parties and performs his functions neutrally and independently. He reports to the CMD, MMTC.
3. The IEM has the right to access without restriction to all trade/project related documentation of MMTC. The Buyer(s)/Vendor(s)/Bidder(s) will also grant the IEM, upon his request and demonstration of a valid interest, unrestricted and unconditional access to his trade/project documentation. The IEM is under contractual obligation to treat the information and documents of the Buyer(s)/Vendor(s)/Bidder(s) with confidentiality.
4. MMTC will provide the IEM sufficient information about all meetings among the parties related to the project/contract provided as meetings could have an impact on the contractual relations between MMTC and the vendor. The parties offer to the IEM the obtain to participate in such meetings.
5. As soon as the IEM notices, or believes to notice, a violation of this agreement, he will so inform the Management of MMTC and request the Management to discontinue or take corrective action, or to take other relevant action. The IEM can in this regard submit NON-BINDING RECOMMENDATIONS. Beyond this, the IEM has not right to demand from the parties that they act in a specific manner, refrain from action or tolerate action.
6. The IEM will submit a written report to the CMD, MMTC within 4 to 6 weeks from the date of reference or intimation to his by MMTC and, should the occasion arise, submit proposals for correcting problematic situations.
7. If the IEM has reported to the CMD, MMTC, substantiate suspicion of an offence under relevant Anti Corruption Laws of India and the CMD, MMTC, has not, within the reasonable time taken visible action to

proceed against such offence or reported it to its Chief Vigilance Officer, the IEM may also transmit this information directly to the Central Vigilance Commissioner, Govt. of India.

- 8. The word
- 9. "IEM" would include both singular and plural.

Section 9 - Pact Duration

- 1. This Pact begins when both parties have legally signed it. It expires for the Vendor 12 months after the last payment under the contract, and for all other Bidders, 6 months after the Contract has been awarded.
- 2. If any claim is made/lodged during this time, the same shall be binding and continue to be valid despite the lapse of this pact as specified above, unless it is discharged/determined by CMD, MMTC.

Section 10 - Other Provisions

- 1. This agreement is subject to Indian Law. Place of performance and jurisdiction is the Registered Office of MMTC, i.e. New Delhi.
- 2. Changes and supplements as well as termination notices need to be made in writing. Side agreements have not been made.
- 3. If the Vendor is partnership or a consortium, this agreement must be signed by all partners or consortium members.
- 4. Should one or several provisions of this agreement turn out to be invalid, the remainder of this agreement remains valid. In this case, the parties will strive to come to an agreement to their original intentions.

.....
(For & on behalf of MMTC)
(Official Seal)

.....
(For & on behalf of Buyer/Vendor/bidder)
(Official Seal)

Place:.....
Date :.....

Witness 1.....
Name :
Address:

Witness 2.....
Name :
Address:

Annexure-III

PROFORMA OF AUTHORITY LETTER TO SUBMIT BID ON BEHALF OF ANY BACK-UP SUPPLIER (APPLICABLE FOR TRADERS)

Ref No. _____

Date : _____

**To,
The Addl. General Manager (Minerals)
MMTC Limited
Bhubaneswar Regional Office**

Sub : Authority letter to submit bid on our behalf.

Ref: MMTC open tender no. _____ date _____ for BF Grade Limestone.

Dear Sir,

We M/s. _____(Name and address of the back up supplier), BF Grade Limestone(10-50MM) supplier, do hereby authorize M/s (Name and address of Bidder) to participate in the subject tender on our behalf. Details are given below :-

- (a) Name and location of the mine : _____
(b) Offered Quantity : _____

2. We hereby assure our full co-operation and support to the Bidder in respect of the above BF Grade Limestone quantities offered.

3. We also understand that this Letter of Authorization is on exclusive basis. In case there are more than one bid for our material with Letters of Authorization issued by us, MMTC has right to reject all such bids.

Yours faithfully,
for & on behalf of M/s.....

Authorised signatory
(Name & Address of the back-up BF Grade Limestone supplier)

Seal

Proforma of declaration regarding banning of business dealings

In case of proprietary concern

I hereby declare that neither I in my personal name or in the name of my proprietary concern M/s Which is submitting the accompanying bid nor any other concern in which I am a proprietor nor any partnership firm in which I am or was involved as partner are not currently banned by MMTC or by Ministry of Commerce or Govt of India or any of the PSU under Ministry of Commerce except as indicated below:

(Give particulars of banning of business dealings, in absence thereof mention "NIL".

I hereby do further declare that the following notice(s) have hitherto been issued against proposed action for banning business dealings or the following action for banning business dealings by MMTC or by Ministry of Commerce or Govt of India or any of the PSU under Ministry of Commerce has been taken in my personal name or in the name of any proprietary concern of mine or against any partnership firm of which I was or am the partner.

No & date of show cause notice or notice of banning the business dealings by MMTC or by Ministry of Commerce or Govt of India or any of the PSU under Ministry of Commerce	Period for which business dealing has been banned	Present Status

In case of partnership firm

We hereby declare that neither we, M/s Which is submitting the accompanying bid nor any partner are involved in the management of said firm either in his individual capacity or as proprietor of any concern or as partner of any firm in which he/she was a partner are not currently banned by MMTC or by Ministry of Commerce or Govt of India or any of the PSU under Ministry of Commerce except as indicated below:

(Give particulars of banning of business dealings, in absence thereof mention "NIL".

We hereby do further declare that the following notice(s) have hitherto been issued against proposed action for banning business dealings or the following action for banning business dealings by MMTC or by Ministry of Commerce or Govt of India or any of the PSU under Ministry of Commerce has been taken against the above firm or any partner involved in the management of the firm in his individual capacity or as proprietor of any concern or as partner of any firm in which he/she was a partner of any firm.

No & date of show cause notice or notice of banning the business dealings by MMTC or by Ministry of Commerce or Govt of India or any of the PSU under Ministry of Commerce	Period for which business dealing has been banned	Present Status

In case of Company

We hereby declare that we, M/s are not currently banned by MMTC or by Ministry of Commerce or Govt of India or any of the PSU under Ministry of Commerce except as indicated below:

(Give particulars of banning of business dealings), in absence thereof mention "NIL".

We hereby do further declare that the following notice(s) have hitherto been issued against proposed action for banning business dealings or the following action for banning business dealings by MMTC or by Ministry of Commerce or Govt of India or any of the PSU under Ministry of Commerce has been taken against us.

No & date of show cause notice or notice of banning the business dealings by MMTC or by Ministry of Commerce or Govt of India or any of the PSU under Ministry of Commerce	Period for which business dealing has been banned	Present Status

It is understood that if this declaration is found to be false, MMTC shall have the right to reject my / our bid and if the bid has been resulted in contract, the contract is liable to be terminated.

Place

Signature of bidder

Date

Name & Designation of the signatory.

